

## REVIEW

# Non-genetic contributions of the sperm nucleus to embryonic development

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Recent data from several laboratories have provided evidence that the newly fertilized oocyte inherits epigenetic signals from the sperm chromatin that are required for proper embryonic development. For the purposes of this review, the term epigenetic is used to describe all types of molecular information that are transmitted from the sperm cell to the embryo. There are at least six different forms of epigenetic information that have already been established as being required for proper embryogenesis in mammals or for which there is evidence that it may do so. These are (i) DNA methylation; (ii) sperm-specific histones, (iii) other chromatin-associated proteins; (iv) the perinuclear theca proteins; (v) sperm-born RNAs and, the focus of this review; and (vi) the DNA loop domain organization by the sperm nuclear matrix. These epigenetic signals should be considered when designing protocols for the manipulation and cryopreservation of spermatozoa for assisted reproductive technology as necessary components for effective fertilization and subsequent embryo development.

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## INTRODUCTION

Basic and clinical fertility research has benefited from the interest of many other fields in the unique biological systems involved in reproduction. The discoveries from laboratories focused on other interests but using fertility as a model have provided important insights into the molecular and biological mechanisms of fertility, often with direct consequences to clinical research and practice. The role of the sperm nucleus in fertility is an important example. Several years ago, a group of researchers interested in the basic principles governing nuclear function developed a model in which *Xenopus* oocyte extracts could be induced to form nuclei around purified DNA added to the system.<sup>1</sup> These synthetic nuclei had double plasma membranes characteristic of normal nuclei and condensed the DNA into histone-bound chromatin. They were also functionally competent in that they could replicate, although inefficiently, the foreign DNA that was used to induce nuclear formation<sup>2,3</sup> and transcribe the exogenous DNA into RNA.<sup>4</sup> These studies established that the oocyte cytoplasm contains all the factors that are required to fold naked DNA into functional chromatin and form a nucleus, *de novo*. They supported the idea that the spermatozoon's sole function was to deliver the genetic information into the oocyte in pristine condition. In this model, the reorganization of the paternal chromatin after fertilization was absolute, and no aspects of DNA packaging in the sperm cell were maintained in the newly fertilized zygote. The only contribution of the father to the progeny was the paternal DNA sequence.

More recent data, however, suggest that this model was incomplete, and the zygote also inherits certain elements from sperm chromatin

that are necessary signals for proper development of the embryo. Our laboratory focused on one of these, the organization of DNA by the sperm nuclear matrix,<sup>5</sup> but this is not the only aspect of sperm chromatin that is transferred to the newly fertilized egg. Evidence suggests that some sperm histones may also be inherited by the paternal pronuclear chromatin from the sperm cell,<sup>6,7</sup> and several studies have demonstrated that sperm-born RNA is also delivered to the egg.<sup>8–10</sup> The clinical implications of these new discoveries about the variety of information inherited by the zygote from the sperm cell for assisted reproductive technologies (ARTs) are that we need to take care to protect these important molecular signals when storing and manipulating spermatozoa in the clinic. Here, we will discuss the current models for the contribution of sperm cell to the developing embryo and the specific implications for ARTs.

## SPERM CHROMATIN STRUCTURE COMPARTMENTALIZES ACTIVE SITES

Spermatozoa have the most unique chromatin structure among all known cell types. It is the most condensed DNA known in eukaryotic cells, and its structure is impervious to electron microscopy.<sup>11,12</sup> This condensation is accomplished during spermiogenesis when protamines replace histones as the major DNA-binding protein in sperm chromatin.<sup>13,14</sup> Protamines coil the sperm DNA into tightly packed toroids that approach a crystalline-like state of condensation.<sup>15,16</sup> Many excellent reviews have been written about this important family of proteins and how they function to package sperm DNA;<sup>17</sup> hence a review of toroid structure will not be necessary here. However, one

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consequence of this packaging will be discussed in the light of a recently proposed model for sperm DNA packaging.<sup>18</sup>

It has long been known that a small portion of mammalian sperm DNA remains associated with histones in mature mammalian spermatozoa.<sup>19–22</sup> Recently, two separate laboratories have demonstrated that these remnant histones are associated with specific DNA sequences.<sup>23,24</sup> Similarly, it has been shown that sperm DNA is also organized into loops by the sperm nuclear matrix.<sup>25,26</sup> These data prompted a recently proposed model for sperm chromatin structure in which most of the DNA is coiled into inaccessible protamine toroids, and the DNA between each toroid is attached to the nuclear matrix<sup>18</sup> (Figure 1, condensed chromatin, lower left). Sometimes the entire loops remain bound to histones, but these are supported structurally by neighboring protamine-bound loops.

The aspect of protamine binding that will be discussed here is that this unique chromatin structure naturally fractionates the non-protamine-bound chromatin. Exogenous nucleases can digest histone-bound chromatin, but cannot penetrate the protamine toroids to digest most of the sperm chromatin.<sup>27–30</sup> As discussed below, evidence is accumulating that these nuclease-sensitive, toroid linker, nuclear matrix-associated chromatin sites are active centers of sperm chromatin that confer molecular instructions to the zygote. Thus, the protamines, which most likely serve to protect the paternal genome during the transit that it must endure before fertilization, also serve to concentrate these active centers in the sperm nucleus into the only accessible chromatin to the oocyte. That is, the protamines sequester 90–99% of the sperm DNA (depending on the species) into an inaccessible crystalline lattice.<sup>22,28,30–33</sup> The remaining minor portion of the paternal DNA, which is sensitive to nucleases and other DNA-binding proteins, may also represent the seeds of function in the paternal pronucleus. Thus, the condensation of sperm DNA by protamines leaves only a small fraction of the genome in the spermato-

zoon that remains accessible to the DNA-binding proteins that are required to activate DNA replication and gene transcription. These may, in fact, be the most important sites for the initiation of paternal genome function in the early embryo.

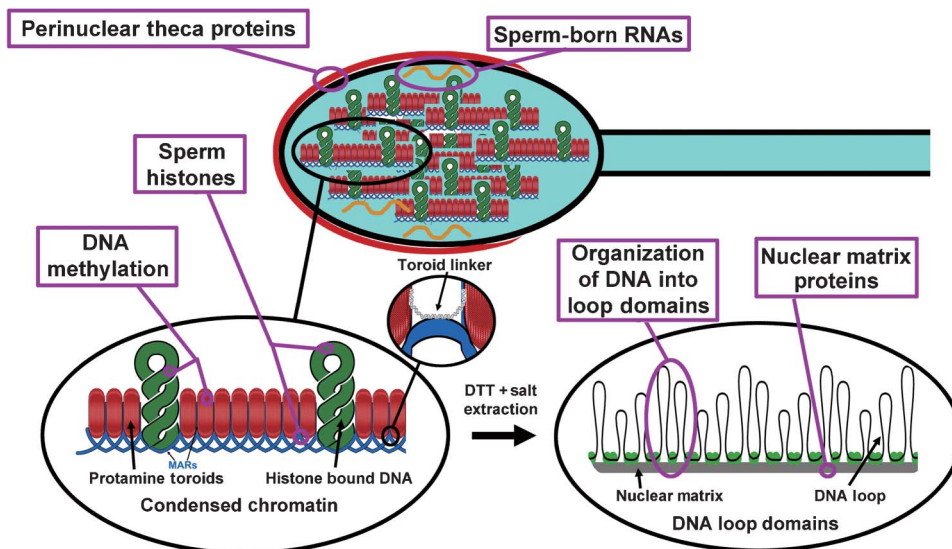
### INHERITED EPIGENETIC INFORMATION

These active sites of sperm chromatin at the protamine toroids may contain important information for the developing embryo in addition to the DNA sequence. Any such information will be referred to as epigenetic in this review. Here, we will briefly mention several possible epigenetic moieties that may contain important signals for embryogenesis. The first, methylation, is the best-known example, and is not expected to be sequestered into these active sites, but distributed throughout the paternal genome.

### DNA methylation

In 1984, two laboratories clearly demonstrated that for mammals the paternal and maternal genomes were not equal.<sup>34,35</sup> By transferring pronuclei using micromanipulation techniques, this group demonstrated that fertilized mouse oocytes that had two male pronuclei or two female pronuclei could not develop. The molecular mechanism for this difference turned out to be differential methylation of imprinted genes in the oocyte and sperm DNA.<sup>36,37</sup> More recent work using cloning techniques helped narrow the timing of the establishment of these differential methylation patterns to early embryonic development.<sup>38</sup> In this study, the point at which the nuclei of primordial germ cells, the cells that differentiate into oogonia or spermatogonia, can no longer support development of a mouse by cloning, corresponded to the establishment of sex-specific methylation patterns. Many excellent reviews have been written about methylation and imprinting, and this will not be discussed here. The important point for this review is that methylation is the most well-characterized

## Sperm-born epigenetic information transmitted to the embryo



**Figure 1** Sperm-born epigenetic information. This diagram illustrates some of the molecular information that the sperm nucleus transmits to the oocytes, much of which may have direct influences on development. DNA methylation is the best-known example of non-DNA sequence information that is required for embryogenesis, but other potentially important elements have been described. Sperm DNA is tightly condensed by protamines into toroids (lower left inset), but some histones remain bound to the chromatin. The DNA is organized into loop domains that are required for DNA replication in the oocyte. Proteins of the nuclear matrix and perinuclear theca are also delivered to the oocyte. DTT, dithiothreitol; MARs, matrix attachment regions.

example of the epigenetic contribution of the sperm nucleus to the developing embryo. Without proper paternal methylation, the embryo cannot develop. More importantly, several studies have shown that this particular type of methylation can be altered in ART.<sup>39–41</sup> DNA methylation is a covalent modification of DNA. If this form of epigenetic modification of the chromatin is susceptible to techniques used in ART, it is likely that the non-covalent chromatin modifications, discussed below, are also susceptible.

#### **Sperm histones: inheritance of higher order chromatin structure**

The continuing presence of histones in fully mature spermatozoa raised the question of whether these were left as residual chromatin representing incomplete chromatin remodeling during spermiogenesis, or whether the relatively small histone-bound fraction of sperm DNA had a functional significance. An early attempt to address this question demonstrated that some individual sequences could be attributed to the protamine versus histone-bound fraction of sperm chromatin.<sup>29</sup> Recently, two groups focused on the genome-wide distribution of histones in human sperm nuclei and found evidence for small and large segments of sperm chromatin that were specifically associated with histones.<sup>24,30</sup> These data supported the idea that during chromatin condensation, some histones remain associated with specific sequences of the sperm DNA, suggesting a programmed distribution rather than residual deposition. Furthermore, there now exists evidence to support a functional role for these residual sperm histones in the newly fertilized oocyte. In the mouse, histone variants H4 acetylated on K8 or K12 (H4K8ac or H4K12ac)<sup>42</sup> and in humans, histone H3.1 and H3.2<sup>6</sup> were inherited by the newly fertilized oocyte from the sperm nucleus. Histone covalent modifications are associated with a variety of nuclear function including transcriptional control, chromatin packaging and DNA methylation. Thus, it seems probable that the sperm cell contributes epigenetic signals for the function of the paternal genome in the form of histone modifications. The same is most likely true for the oocyte, although this is not surprising because the maternal chromosomes remain bound to histones throughout fertilization.

If this proves to be correct, it would have important implications for ARTs. Histones are much more easily extracted from DNA than protamines, and histone-bound DNA is much more susceptible to virtually all types of DNA-damaging agents than is protamine-bound DNA. Once again, this is a component of sperm structure that needs to be taken into account when analyzing techniques for sperm manipulation and cryopreservation.

#### **Non-histone, sperm nuclear proteins**

Several laboratories have published proteomic analyses of sperm proteins using mass spectrometry.<sup>43,44</sup> For comprehensive reviews of this subject, see Aitken and Baker<sup>45</sup> and Castillo and Oliva.<sup>46</sup> Analyses of the different areas of the spermatozoon are sure to follow, and at least one group has published a proteomic analysis of the rat sperm nuclear matrix.<sup>47</sup> We have reported evidence that the DNA loop domain organization in the sperm nucleus is required for embryogenesis (see below). This infers that at least a portion of the proteins of the nuclear matrix may also be inherited by the newly fertilized embryo. The sperm cell also enters the oocyte with the perinuclear theca still attached. This organelle also contains a host of proteins, one of which is an extranuclear located histone H2B.<sup>48</sup> Many of these proteins are likely to be incorporated into the functioning paternal pronucleus, and may also be counted as part of the epigenetic inheritance of the embryo from the spermatozoon.

#### **Sperm nuclear RNA**

Recently, several independent laboratories have demonstrated that the fully mature spermatozoon contains several types of RNAs.<sup>8–10</sup> These RNAs are also thought to be carried into the oocyte with the spermatozoa. Because many of these are microRNAs with known functions in transcriptional regulation, it is possible that these RNAs contribute to the regulation of the paternal genome in the one-cell embryo. If so, sperm-born RNAs can be considered as another form of epigenetic information that is passed from the father to the embryo.

#### **DNA LOOP DOMAIN ORGANIZATION BY THE SPERM NUCLEAR MATRIX: TRANSMISSION OF A FUNCTION SCAFFOLD FOR THE PATERNAL GENOME**

It has long been known that sperm DNA, similar to that of somatic cells, is organized into loop domains of about 20–50 kb that are attached at their bases to the a proteinaceous structure termed the nuclear matrix.<sup>49–52</sup> The work from our laboratory has focused on the hypothesis that sperm DNA loop domain organization is inherited by the newly fertilized embryo and that this organization is required for proper embryonic development.<sup>53–55</sup> This idea was a logical extension of the work that had been done in somatic cells on the function of the nuclear matrix. Several different laboratories had revealed that DNA was replicated at the base of the loops, with the nuclear matrix serving as the scaffold on which the replication machinery was assembled.<sup>56–59</sup> The DNA is reeled through the fixed replication ‘factory’ on the nuclear matrix. Origins of DNA replication are initially located on the nuclear matrix.<sup>60,61</sup> Many groups have also suggested that RNA transcription takes place on the nuclear matrix by similarly fixing the transcription machinery to one site on the nuclear matrix.<sup>49,52,62–64</sup> The nuclear matrix clearly has a role in the function of somatic cell DNA.

A similar role is almost certainly played by the nuclear matrix of the paternal nucleus in the one-cell embryo. The question is whether the DNA loop domain organization was constructed *de novo* in the embryo during sperm decondensation and the subsequent nuclear formation, or is the paternal loop attachment structure inherited by the embryo from the sperm cell? This latter possibility is plausible, as nuclear matrices in somatic cells have been shown to expand when histones are extracted. It is therefore possible that the nuclear matrix in the round spermatid contracts during spermiogenesis when the chromatin is condensed by protamine deposition and then expands again in the embryo. But is this what happens?

Recent evidence from different laboratories suggests that this might, indeed, be the case. The first piece of supporting evidence comes from the cloning field. The fact that transferring adult, somatic cell nuclei (nuclear transfer or cloning) into enucleated oocytes results in live births demonstrates that the entire DNA required for embryogenesis remains intact throughout life.<sup>65,66</sup> However, the efficiency of cloning remains extremely low—only 2–5% of nuclear transfer embryos develop to term. Most researchers in the field believe that this is due to chromatin reprogramming, but the exact nature of the chromatin elements that need to be altered is unknown. One group has recently demonstrated that adult erythrocyte nuclei preincubated in mitotic egg extracts could replicate much more efficiently than nuclei that were not preincubated.<sup>67</sup> The most obvious chromatin structure that was altered in the preincubated nuclei was the DNA loop domain organization, which was markedly shortened. This suggests that the organization of DNA by the nuclear matrix is crucial for DNA replication in the zygote. In support of this, we have recently demonstrated that replication of the mouse paternal genome in the one-cell

embryo requires the sperm nuclear matrix and the proper DNA attachment sites.<sup>25</sup> When original attachment configuration of DNA to the nuclear matrix is disrupted, the DNA is no longer replicated.<sup>25,68</sup>

These studies support the model that the embryo inherits the DNA loop domain organization of the paternal genome from the sperm cell. The sperm nuclear matrix may serve as a 'function scaffold' on which the DNA is replicated. Thus, it is the three-dimensional organization of sperm DNA, in addition to the DNA sequence, that is required for one of the first steps of embryogenesis—the replication of the paternal genome. The sites of DNA attachment to the nuclear matrix are called matrix attachment regions and correspond to the 'seeds of chromatin function', located at the protamine toroid linker regions, mentioned above. This is one additional level of chromatin structure that must be preserved in sperm micromanipulation and cryopreservation techniques used in ART.

### THE SPERM NUCLEAR MATRIX AS A POSSIBLE CHECKPOINT FOR CHROMATIN STABILITY

The sperm nuclear matrix, which is required for proper embryogenesis, also has a function in live, mature spermatozoa before fertilization. Again, this function was tested in spermatozoa because of its role in somatic cells. When cells undergo apoptosis, the first step of DNA degradation is the reversible cleavage of DNA by topoisomerase II located at the bases of the DNA loop domains on the nuclear matrix.<sup>69–71</sup> This cleavage results in the degradation of the entire chromatin into loop-sized fragments of 60–100 kb. In most cases, these double-stranded DNA breaks can actually be reversed by inducing the topoisomerase II to religate the broken strands of DNA. In the second step, one or more nucleases initiate a more complete digestion of the DNA and this step cannot be reversed.<sup>72–74</sup> Although the exact mechanism of this degradation is unknown, some evidence points to a direct interaction between topoisomerase II and nucleases. This model suggests that the DNA attachment site to the nuclear matrix and a closely associated topoisomerase II serves as a checkpoint or simply as the initiation point for the DNA degradation in apoptosis. Topoisomerase II is one of the components of the sperm nuclear matrix proteins (Oliva R, pers. commun., 2010), and may also be inherited by the embryo.

We have demonstrated that mouse spermatozoa can be induced to digest the entire paternal genome into 20–50 kb fragments and that this digestion can be reversed with EDTA, a typical reversal reagent for topoisomerase II cleavage.<sup>75</sup> Evidence suggests that the point of cleavage is the matrix attachment regions, the same sites that we have described as harboring the origins of replication for the paternal pronucleus. These are the only accessible parts of the sperm chromatin to most types of DNA-damaging agents, including enzymes. These active chromatin regions in the sperm cell may also function before fertilization as checkpoint regions for the integrity of sperm chromatin structure.

### CONCLUSION

There are at least six components of the sperm nucleus other than the DNA that have either already been shown to be inherited by the paternal nucleus or for which there is evidence that suggests that they are. These are DNA methylation, sperm-specific histones, other chromatin-associated proteins such as topoisomerase II, the perinuclear theca proteins, sperm-born RNAs and the focus of this review, the DNA loop domain organization by the sperm nuclear matrix. Other chemical signals in the form of lipids or carbohydrates may also be discovered in the future—the list of epigenetic components presented

here is almost certainly not complete. The molecularly diverse groups of epigenetic signals that are transferred to the oocyte by the spermatozoon also speak of the complex nature of inheritance.

As with many other aspects of reproductive biology, this conclusion has two important implications—one for cell and molecular biology and one for clinical reproduction. The first has just been mentioned, that inheritance is much more complex than the transmission of the information embedded in the DNA sequence of the parents. The parental chromatin also provides a complex series of instructions for the proper execution of the genetic program encoded in the DNA in the form of epigenetic signals. The second implication is another caution for the clinical infertility. Evidence has already been reported that one of the six types of epigenetic signals that may be transmitted from the sperm to the embryo, methylation, may be disrupted by ART.<sup>39–41</sup> This raises the possibility that other, less stable, epigenetic signals may also be disrupted by the gamete manipulation used in ART procedures. Fortunately, the vast majority of children born from ARTs are normal, and any potential hazards will be minor, if they exist at all. Still, a better understanding of the epigenetic contributions of the sperm to the embryo may increase embryo survival *in vitro* before transplantation to the mother and/or increase the stability of pregnancies.

The study of the still enigmatic mammalian sperm chromatin continues to provide new insights into reproductive biology. However, because of its unique function, and unique divestment of most of the normal chromatin attributes during spermiogenesis, it also provides important foundations for chromatin structure in somatic cells.

### COMPETING FINANCIAL INTERESTS

The authors declare no competing financial interests.

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